

PERIODIC DISCLOSURES

FORM NL-12A-INVESTMENT SCHEDULE - SHAREHOLDERS



Royal Sundaram General Insurance Co. Ltd
(Formerly known as Royal Sundaram Alliance Insurance Company Ltd)

30-Sep-17



No.	Particulars	As at 30th Sep 2017	As at 30th Sep 2016
		(`000)	(`000)
	LONG TERM INVESTMENTS		
1	Government securities and Government guaranteed bonds including Treasury Bills	27,51,103	19,22,968
2	Other Approved Securities	-	-
3	Other Investments		
	(a) Shares	-	-
	(aa) Equity	-	-
	(bb) Preference		
	(b) Mutual Funds	-	-
	(c) Derivative Instruments	-	-
	(d) Debentures/ Bonds	24,94,086	14,13,032
	(e) Other Securities (to be specified)	-	-
	(f) Subsidiaries	-	-
	(g) Investment Properties-Real Estate	-	-
4	Investments in Infrastructure and Social Sector	15,76,140	13,04,660
5	Other than Approved Investments-Alternative Investment Funds	1,27,579	1,09,673
	SHORT TERM INVESTMENTS		
1	Government securities and Government guaranteed bonds including Treasury Bills	91,612	90,700
2	Other Approved Securities	-	-
3	Other Investments		
	(a) Shares		
	(aa) Equity	5,33,191	2,76,607
	(bb) Preference	-	-
	(b) Mutual Funds	2,59,729	48,001
	(a) Derivative Instruments	-	-
	(b) Debentures/ Bonds	6,79,467	5,33,709
	(c) Other Securities-Certificate of Deposit/CBLO/CP	4,26,807	3,81,035
	(d) Subsidiaries	-	-
	(e) Investment Properties-Real Estate	-	-
4	Investments in Infrastructure and Social Sector	1,59,245	1,79,571
5	Other than Approved Investments	83,023	-
	TOTAL	91,81,982	62,59,956

FORM NL-12B-INVESTMENT SCHEDULE - POLICYHOLDERS



No.	Particulars	As at 30th Sep 2017	As at 30th Sep 2016
		('000)	('000)
	LONG TERM INVESTMENTS		
1	Government securities and Government guaranteed bonds including Treasury Bills	93,35,774	76,29,774
2	Other Approved Securities	-	-
3	Other Investments		
	(a) Shares	-	-
	(aa) Equity	-	-
	(bb) Preference		
	(b) Mutual Funds	-	-
	(c) Derivative Instruments	-	-
	(d) Debentures/ Bonds	84,63,597	56,06,502
	(e) Other Securities (to be specified)	-	-
	(f) Subsidiaries	-	-
	(g) Investment Properties-Real Estate	-	-
4	Investments in Infrastructure and Social Sector	53,48,578	51,76,517
5	Other than Approved Investments-Alternative Investment Funds	4,32,935	4,35,150
	SHORT TERM INVESTMENTS		
1	Government securities and Government guaranteed bonds including Treasury Bills	3,10,881	3,59,870
2	Other Approved Securities	-	-
3	Other Investments		
	(a) Shares		
	(aa) Equity	18,09,367	10,97,495
	(bb) Preference	-	-
	(b) Mutual Funds	8,81,382	1,90,453
	(a) Derivative Instruments	-	-
	(b) Debentures/ Bonds	23,05,748	21,17,601
	(c) Other Securities-Certificate of Deposit/CBLO/CP	14,48,355	15,11,842
	(d) Subsidiaries	-	-
	(e) Investment Properties-Real Estate	-	-
4	Investments in Infrastructure and Social Sector	5,40,391	7,12,483
5	Other than Approved Investments	2,81,734	-
	TOTAL	3,11,58,742	2,48,37,688

- Notes:
- (a) Investments in subsidiary/holding companies, joint ventures and associates shall be separately disclosed, at cost.
 - (i) Holding company and subsidiary shall be construed as defined in the Companies Act, 1956:
 - (ii) Joint Venture is a contractual arrangement whereby two or more parties undertake an economic activity, which is subject to
 - (i) Joint control - is the contractually agreed sharing of power to govern the financial and operating policies of an economic
 - (ii) Associate - is an enterprise in which the company has significant influence and which is neither a subsidiary nor a joint
 - (iii) Significant influence (for the purpose of this schedule) - means participation in the financial and operating policy decisions of
 - A substantial or majority ownership by another investor does not necessarily preclude an investor from having significant influence.
 - (b) Aggregate amount of company's investments other than listed equity securities and derivative instruments and also the market
 - (c) Investments made out of Catastrophe reserve should be shown separately.

- (d) Debt securities will be considered as “held to maturity” securities and will be measured at historical cost subject to
- (e) Investment Property means a property [land or building or part of a building or both] held to earn rental income or for capital
- (f) Investments maturing within twelve months from balance sheet date and investments made with the specific

