

(Read with Regulation 10)

Name of the Insurer : ROYAL SUNDARAM ALLIANCE INSURANCE COMPANY LTD.

Registration Number : 102

Statement as on : 30-09-2014

Statement of Investment and Income on Investment

Name of Fund : GENERAL INSURANCE

Periodicity of Submission : Quarterly

No	Category of Investment	Category Code	Current Quarter					Year to Date (current year)					Inv
			Investment (Rs.)		Income On Investment (Rs.)	Gross Yield (%)	Net Yield (%)	Investment (Rs.)		Income On Investment (Rs.)	Gross Yield (%)	Net Yield (%)	
			Book Value	Market Value				Book value	Market Value				
1	CENTRAL GOVT. BONDS	CGSB	69,350	72,239	1,392	2.01	2.01	64,022.15	72,239	2,552.27	3.99	3.99	5
2	SPECIAL DEPOSITS	CSPD	-	-	0	0.00	0.00	0.00	0	0.00	0.00	0.00	
3	DEPOSIT UNDER SECTION 7 OF	CDSS	1,010	1,008	19	1.88	1.88	1,015.26	1,008	37.49	3.69	3.69	1,0
4	TREASURY BILLS	CTRB	6,197	3,493	137	2.20	2.20	6,988.82	3,493	305.86	4.38	4.38	6,5
5	CENTRAL GOVERNMENT	CGSL	-	-	0	0.00	0.00	0.00	0	0.00	0.00	0.00	
6	STATE GOVERNMENT BONDS	SGGB	-	-	0	0.00	0.00	0.00	0	0.00	0.00	0.00	
7	STATE GOVERNMENT	SGGL	-	-	0	0.00	0.00	0.00	0	0.00	0.00	0.00	
8	OTHER APPROVED SECURITIES	SGOA	6,998	6,952	136	1.94	1.94	6,997.88	6,952	268.34	3.83	3.83	8,9
9	GUARANTEED EQUITY	SGGE	-	-	0	0.00	0.00	0.00	0	0.00	0.00	0.00	
10	LOANS TO STATE GOVERNMENT	HLSH	-	-	0	0.00	0.00	0.00	0	0.00	0.00	0.00	
11	LOANS TO STATE GOVERNMENT	HLSF	-	-	0	0.00	0.00	0.00	0	0.00	0.00	0.00	
13	TERM LOAN - HUDCO / NHB /	HTLH	-	-	0	0.00	0.00	0.00	0	0.00	0.00	0.00	
14	COMMERCIAL PAPERS - NHB /	HTLN	-	-	0	0.00	0.00	0.00	0	0.00	0.00	0.00	
15	HOUSING - SECURITISED ASSETS	HMBS	-	-	0	0.00	0.00	0.00	0	0.00	0.00	0.00	
16	TAXABLE BONDS - BONDS /	HTHD	-	-	0	0.00	0.00	0.00	0	0.00	0.00	0.00	
17	DEBENTURES / BONDS / CPS /	HDPG	4,815	5,053	123	2.56	2.56	4,409.59	5,053	224.60	5.09	5.09	4,1
18	TAXABLE BONDS- BONDS /	HTDN	25,076	25,037	591	2.36	2.36	25,001.95	25,037	1,181.03	4.72	4.72	28,7
19	TAXABLE - BONDS / DEBENTURES	HTDA	-	-	0	0.00	0.00	0.00	0	0.00	0.00	0.00	
20	TAX FREE BONDS-BONDS /	HFDN	-	-	0	0.00	0.00	0.00	0	0.00	0.00	0.00	
21	BONDS / DEBENTURES ISSUED BY	HFHD	-	-	0	0.00	0.00	0.00	0	0.00	0.00	0.00	
22	TAX FREE BONDS / DEBENTURES	HFDA	-	-	0	0.00	0.00	0.00	0	0.00	0.00	0.00	
23	DEBENTURES / BONDS / CPS /	HODS	-	-	0	0.00	0.00	0.00	0	0.00	0.00	0.00	
24	HOUSING - SECURITISED ASSETS	HOMB	-	-	0	0.00	0.00	0.00	0	0.00	0.00	0.00	
25	DEBENTURES / BONDS / CPS /	HOPG	-	-	0	0.00	0.00	0.00	0	0.00	0.00	0.00	

26	INFRASTRUCTURE - OTHER	ISAS	-	-	0	0.00	0.00	0.00	0	0.00	0.00	0.00	
27	INFRASTRUCTURE - PSU - EQUITY	ITPE	-	-	0	0.00	0.00	0.00	0	0.00	0.00	0.00	
28	INFRASTRUCTURE - CORPORATE	ITCE	-	-	0	0.00	0.00	0.00	0	0.00	0.00	0.00	
29	INFRASTRUCTURE - EQUITY AND	IEPG	-	-	0	0.00	0.00	0.00	0	0.00	0.00	0.00	
30	INFRASTRUCTURE - SECURITISED	IESA	-	-	0	0.00	0.00	0.00	0	0.00	0.00	0.00	
31	INFRASTRUCTURE - DEBENTURES	IDPG	-	-	0	0.00	0.00	0.00	0	0.00	0.00	0.00	
32	INFRASTRUCTURE -	IDDF	-	-	0	0.00	0.00	0.00	0	0.00	0.00	0.00	
33	TAXABLE BONDS-INFRASTRUTURE	IPTD	49,663	49,545	1,075	2.31	2.31	51,344.60	49,545	2,355.03	4.59	4.59	54,000
34	INFRASTRUCTURE - PSU - CPS	IPCP	-	-	0	0.00	0.00	0.00	0	0.00	0.00	0.00	
35	TAXABLE BONDS-	ICTD	11,080	10,943	265	2.22	2.22	11,122.32	10,943	527.38	4.62	4.62	11,111
36	INFRASTRUCTURE - OTHER	ICCP	-	-	0	0.00	0.00	0.00	0	0.00	0.00	0.00	
37	INFRASTRUCTURE - TERM LOANS	ILWC	-	-	0	0.00	0.00	0.00	0	0.00	0.00	0.00	
38	INFRASTRUCTURE - PSU -	IPFD	11,998	12,616	314	2.01	2.01	11,997.44	12,616	478.06	3.98	3.98	5,000
39	INFRASTRUCTURE - OTHER	ICFD	-	-	0	0.00	0.00	0.00	0	0.00	0.00	0.00	
40	INFRASTRUCTURE - EQUITY	IOEQ	-	-	0	0.00	0.00	0.00	0	0.00	0.00	0.00	
41	INFRASTRUCTURE - DEBENTURES	IODS	-	-	0	0.00	0.00	0.00	0	0.00	0.00	0.00	
42	INFRASTRUCTURE - SECURITISED	IOSA	-	-	0	0.00	0.00	0.00	0	0.00	0.00	0.00	
43	INFRASTRUCTURE - EQUITY	IOPE	-	-	0	0.00	0.00	0.00	0	0.00	0.00	0.00	
44	INFRASTRUCTURE - DEBENTURES	IOPD	-	-	0	0.00	0.00	0.00	0	0.00	0.00	0.00	
45	PSU - (APPROVED INVESTMENT) -	EAEQ	184	187	18	9.54	9.54	221.47	187	15.18	6.85	6.85	4,000
46	CORPORATE SECURITIES	EACE	556	914	33	5.92	5.92	558.31	914	48.57	8.70	8.70	7,000
47	EQUITY SHARES - COMPANIES	EFES	-	-	0	0.00	0.00	0.00	0	0.00	0.00	0.00	
48	EQUITY SHARES (INCL. EQUITY	EEPG	-	-	0	0.00	0.00	0.00	0	0.00	0.00	0.00	
49	CORPORATE SECURITIES-BOND-	EPBT	5,489	5,527	125	2.28	2.28	5,705.23	5,527	258.87	4.54	4.54	4,900
50	CORPORATE SECURITIES - BONDS	EPBF	-	-	0	0.00	0.00	0.00	0	0.00	0.00	0.00	
51	CORPORATE SECURITIES	EPNQ	-	-	0	0.00	0.00	0.00	0	0.00	0.00	0.00	
52	CORPORATE SECURITIES	ECIS	-	-	0	0.00	0.00	0.00	0	0.00	0.00	0.00	
53	CORPORATE SECURITIES	ECOS	23,601	26,050	570	2.47	2.47	20,630.76	26,050	1,011.85	4.90	4.90	13,000
54	CORPORATE SECURITIES -	EDPG	6,419	6,609	171	2.48	2.48	6,584.69	6,609	327.67	4.98	4.98	4,600
55	BILLS RE - DISCOUNTING	ECBR	-	-	0	0.00	0.00	0.00	0	0.00	0.00	0.00	
56	CORPORATE SECURITIES	ECDI	-	-	0	0.00	0.00	0.00	0	0.00	0.00	0.00	
57	MUNICIPAL BONDS	EMUN	-	-	0	0.00	0.00	0.00	0	0.00	0.00	0.00	
58	INVESTMENT PROPERTIES -	EINP	-	-	0	0.00	0.00	0.00	0	0.00	0.00	0.00	
59	LOANS - POLICY LOANS	ELPL	-	-	0	0.00	0.00	0.00	0	0.00	0.00	0.00	
60	LOANS - SECURED LOANS -	ELMI	-	-	0	0.00	0.00	0.00	0	0.00	0.00	0.00	
61	LOANS - SECURED LOANS -	ELMO	-	-	0	0.00	0.00	0.00	0	0.00	0.00	0.00	
62	DEPOSITS - DEPOSIT WITH	ECDB	40	40	0	0.00	0.00	40.00	40	0.00	0.00	0.00	
63	DEPOSITS - CDS WITH	EDCD	10,570	7,307	238	2.25	2.25	13,107.48	7,307	585.12	4.46	4.46	19,500
64	DEPOSITS - REPO / REVERSE	ECMR	-	-	0	0.00	0.00	0.00	0	0.00	0.00	0.00	

65	DEPOSITS - REPO / REVERSE	ECCR	-	-	0	0.00	0.00	0.00	0	0.00	0.00	0.00	
66	DEPOSIT WITH PRIMARY DEALERS	EDPD	-	-	0	0.00	0.00	0.00	0	0.00	0.00	0.00	
67	CCIL - (APPROVED INVESTMENT) -	ECBO	3,405	2,895	65	1.91	1.91	3,846.34	2,895	151.53	3.94	3.94	2,9
68	PSU - (APPROVED INVESTMENT) -	ETPE	-	-	0	0.00	0.00	0.00	0	0.00	0.00	0.00	
69	COMMERCIAL PAPERS	ECCP	1,979	-	43	2.16	2.16	1,965.01	0	69.63	3.54	3.54	
70	APPLICATION MONEY	ECAM	-	-	0	0.00	0.00	0.00	0	0.00	0.00	0.00	
71	PERPETUAL DEBT INSTRUMENTS	EUPD	-	-	0	0.00	0.00	0.00	0	0.00	0.00	0.00	
72	PERPETUAL DEBT INSTRUMENTS	EPPD	-	-	0	0.00	0.00	0.00	0	0.00	0.00	0.00	
73	PERPETUAL NON-CUM. P.SHARES	EUPS	-	-	0	0.00	0.00	0.00	0	0.00	0.00	0.00	
74	PERPETUAL NON-CUM. P.SHARES	EPPS	-	-	0	0.00	0.00	0.00	0	0.00	0.00	0.00	
75	CORPORATE SECURITIES	ETCE	-	-	0	0.00	0.00	0.00	0	0.00	0.00	0.00	
76	FOREIGN DEBT SECURITIES	EFDS	-	-	0	0.00	0.00	0.00	0	0.00	0.00	0.00	
77	CORPORATE SECURITIES	EENQ	-	-	0	0.00	0.00	0.00	0	0.00	0.00	0.00	
78	MUTUAL FUNDS - GILT / G SEC /	EGMF	1,762	-	33	1.85	1.85	1,788.94	0	68.26	3.82	3.82	1,5
79	MUTUAL FUNDS - (UNDER	EMPG	1,289	-	5	0.38	0.38	1,053.50	0	10.55	1.00	1.00	1,0
80	NET CURRENT ASSETS (ONLY IN	ENCA	-	-	0	0.00	0.00	0.00	0	0.00	0.00	0.00	
82	OTHER THAN APPROVED	OBPT	-	-	0	0.00	0.00	0.00	0	0.00	0.00	0.00	
83	OTHER THAN APPROVED	OBPF	-	-	0	0.00	0.00	0.00	0	0.00	0.00	0.00	
84	EQUITY SHARES (PSUS AND	OEPU	-	-	0	0.00	0.00	0.00	0	0.00	0.00	0.00	
85	EQUITY SHARES (INCL. EQUITY	OEPG	-	-	0	0.00	0.00	0.00	0	0.00	0.00	0.00	
86	OTHER THAN APPROVED	OLDB	-	-	0	0.00	0.00	0.00	0	0.00	0.00	0.00	
87	DEBENTURES / BONDS/ CPS /	ODPG	-	-	0	0.00	0.00	0.00	0	0.00	0.00	0.00	
88	MUNICIPAL BONDS	OMUN	-	-	0	0.00	0.00	0.00	0	0.00	0.00	0.00	
89	CORPORATE SECURITIES (OTHER	OMDI	-	-	0	0.00	0.00	0.00	0	0.00	0.00	0.00	
90	COMMERCIAL PAPERS	OACP	-	-	0	0.00	0.00	0.00	0	0.00	0.00	0.00	
91	CORPORATE SECURITIES (OTHER	OMLF	-	-	0	0.00	0.00	0.00	0	0.00	0.00	0.00	
92	CORPORATE SECURITIES (OTHER	OMSP	-	-	0	0.00	0.00	0.00	0	0.00	0.00	0.00	
93	OTHER THAN APPROVED	OPSH	-	-	0	0.00	0.00	0.00	0	0.00	0.00	0.00	
94	OTHER THAN APPROVED	OESH	-	-	0	0.00	0.00	0.00	0	0.00	0.00	0.00	
95	VENTURE FUND / SEBI APPROVED	OVNF	-	-	0	0.00	0.00	0.00	0	0.00	0.00	0.00	
96	OTHER THAN APPROVED	OSLU	-	-	0	0.00	0.00	0.00	0	0.00	0.00	0.00	
97	OTHER THAN APPROVED	OTLW	-	-	0	0.00	0.00	0.00	0	0.00	0.00	0.00	
98	CORPORATE SECURITIES (OTHER	OMGS	-	-	0	0.00	0.00	0.00	0	0.00	0.00	0.00	
99	MUTUAL FUNDS - (UNDER	OMPG	-	-	0	0.00	0.00	0.00	0	0.00	0.00	0.00	
100	CORPORATE SECURITIES (OTHER	OMOT	-	-	0	0.00	0.00	0.00	0	0.00	0.00	0.00	
101	CORPORATE SECURITIES (OTHER	OCDI	-	-	0	0.00	0.00	0.00	0	0.00	0.00	0.00	
102	OTHER THAN APPROVED	OPSA	-	-	0	0.00	0.00	0.00	0	0.00	0.00	0.00	
103	INVESTMENT PROPERTIES -	OIPI	-	-	0	0.00	0.00	0.00	0	0.00	0.00	0.00	
Total			241,480	236,415	5,351	2.22	2.22	238,403	236,415	10,477	4.39	4.39	21

CERTIFICATE

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Note : Category of Investment (COI) shall be as per Guidelines, as amended from time to time

1 - Based on daily simple Average of Investments

2 - Yield netted for Tax

3 - In the previous year column, the figures of the corresponding Year to date of the previous financial year shall be shown

4 - FORM-1 shall be prepared in respect of each fund. In case of ULIP FORM 1 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level

0.00	0	0	0.00	0.00
0.00	0	0	0.00	0.00
0.00	0	0	0.00	0.00
0.00	0	0	0.00	0.00
0.00	0	0	0.00	0.00
0.00	0	0	0.00	0.00
0.00	0	0	0.00	0.00
34.01	55,718	2,496	4.58	4.58
0.00	0	0	0.00	0.00
91.88	12,251	463	4.70	4.70
0.00	0	0	0.00	0.00
0.00	0	0	0.00	0.00
00.00	6,400	183	3.63	3.63
0.00	0	0	0.00	0.00
0.00	0	0	0.00	0.00
0.00	0	0	0.00	0.00
0.00	0	0	0.00	0.00
0.00	0	0	0.00	0.00
0.00	0	0	0.00	0.00
28.26	261	5	1.22	1.22
34.92	717	8	1.04	1.04
0.00	0	0	0.00	0.00
0.00	0	0	0.00	0.00
69.88	4,900	212	4.26	4.26
0.00	0	0	0.00	0.00
0.00	0	0	0.00	0.00
0.00	0	0	0.00	0.00
75.00	13,351	631	4.81	4.81
34.04	5,859	230	4.98	4.98
0.00	0	0	0.00	0.00
0.00	0	0	0.00	0.00
0.00	0	0	0.00	0.00
0.00	0	0	0.00	0.00
0.00	0	0	0.00	0.00
0.00	0	0	0.00	0.00
0.00	0	0	0.00	0.00
40.00	40	0	0.00	0.00
29.61	14,514	855	4.38	4.38
0.00	0	0	0.00	0.00

